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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/624,076	07/24/2000	Robert Scott Nieboer	2907-102P

Anthony Laws Birch
6915 Barrett Lane
Bethesda, MD 20814

FORMALITIES LETTER

OC000000005436383

Date Mailed: 09/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.63(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$130.
 - \$130 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 195.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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BOX MISSING PARTS
PATENT
2907-102P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Robert Scott Nieboer
Appl. No.: 09/624,076 Group: 2761
Filed: July 24, 2000 Examiner: NOT ASSIGNED
For: DOUBLE DUTCH AUCTION FOR ESTABLISHMENT
AND MAXIMIZATION OF SALES PRICE OF
GENERIC FUNGIBLE ITEMS



THIS IS NOT A NEW PATENT APPLICATION
LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

October 25, 2000

Sir:

The application papers for the above-identified application were originally filed on July 24, 2000 and the application was assigned Appl. No. 09/624,076.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on July 24, 2000, including

any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 2907-102P on page 1 of the inventors' Declaration, filed on October 25, 2000, corresponds to Appl. No. 09/624,076, filed July 24, 2000, entitled "DOUBLE DUTCH AUCTION FOR ESTABLISHMENT AND MAXIMIZATION OF SALES PRICE OF GENERIC FUNGIBLE ITEMS."

☐ Attached are _____ () sheet(s) of formal drawings.

☒ Attached is a copy of Form PTO-1533.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge (☐ Large Entity - \$130.00;
☒ Small Entity - \$ 65.00)

☒ Multiple Dependent Claim Surcharge \$130.00;

☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).

☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Application (Form PTO-1533). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully

Appl. No. 09/624,076

petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

☒ A check in the amount of \$195.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Anthony L. Birch
Anthony L. Birch, #26,122

ALB/bb
2907-102P

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Attachments

<p><u>Certificate of Transmission</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:</p> <p>Commissioner of Patents and Trademarks, Washington, DC 20231</p> <p>Signature: <u>Anthony L. Birch</u></p> <p>Printed Name: <u>Anthony L. Birch</u></p> <p>Date: <u>10/25/00</u></p>



sector

CERTIFICATION OF MAILED DOCUMENTS(S) TO USPTO

The undersigned hereby certifies that the correspondence indicated on the attached photocopy of the postcard receipt was reviewed and found to be in proper order for filing in the USPTO by

☒ Certificate of Mailing Procedures (This correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC; on the below indicated date.)

_____ Hand Carry to the USPTO mailroom by _____ on the below indicated date.

_____ Telefaxed to the USPTO on the below-indicated date in accordance with the procedures for faxing documents to the USPTO. Upon successful transmission to the USPTO, a copy of the facsimile Transaction Report will be attached herewith.

Signed: Anthony J. Bril Date: 10/25/00

Serial Number: 09/624, 076

Attorney Docket No.: 2907-102P

Responsible Atty./Secy.: ALB, bb

Due Date: 11/28/00